

# A UNIQUE PRIME DECOMPOSITION RESULT FOR WREATH PRODUCT FACTORS

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**ABSTRACT.** We use malleable deformations combined with spectral gap rigidity theory, in the framework of Popa’s deformation/rigidity theory to prove unique tensor product decomposition results for  $\text{II}_1$  factors arising as tensor product of wreath product factors. We also obtain a similar result regarding measure equivalence decomposition of direct products of such groups.

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## INTRODUCTION

A major goal of the study of  $\text{II}_1$  factors is the classification of these algebras based on the “input data” that goes into their construction. A significant landmark was the result, due to Connes [Co76], that all amenable  $\text{II}_1$  factors are isomorphic. However, in the non-amenable realm there is a much greater variety, and a striking classification theory has developed.

One thrust of this research is to determine if some algebra which, *a priori*, is constructed in one manner, can be obtained in some other manner. For example, if we have a  $\text{II}_1$  factor that we know to be a free product of two  $\text{II}_1$  factors, is it also possible to be the tensor product of two (possibly different)  $\text{II}_1$  factors?

In this vein we study whether certain factors can be written as a tensor product in two distinct ways. Such results go back to the study of prime factors, (ie. a factor which cannot be written as the tensor product of two other  $\text{II}_1$  factors.) The first result was obtained by Popa in, [Po83], where he showed that the group von Neumann algebra of an uncountable free group is prime.

Later, in [Ge98], Ge proves that all group factors coming from finitely generated free groups are prime. Using  $C^*$  techniques this was greatly generalized by Ozawa, [Oz03], to show that all i.c.c. Gromov hyperbolic groups give rise to prime factors. Also, using his deformation/rigidity theory, Popa showed in [Po06a] that all  $\text{II}_1$  factors arising from the Bernoulli actions of nonamenable groups are prime. Further, Peterson used his derivation approach to deformation/rigidity ([Pe06]) to prove that

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any  $\text{II}_1$  factor coming from a countable group with positive first  $l^2$ -betti number is also prime. Finally we should also note that using Popa's deformation/rigidity theory, Chifan and Houdayer, [CH08], gave many more examples of prime  $\text{II}_1$ -factors coming from amalgamated free products.

A natural question about prime factors is whether a tensor product of a finite number of such factors  $P_1, P_2, \dots, P_n$ , has a “unique prime factor decomposition”, i.e., if  $P_1 \overline{\otimes} \dots \overline{\otimes} P_n = Q_1 \overline{\otimes} \dots \overline{\otimes} Q_m$ , for some other prime factors  $Q_j$ , forces  $n = m$  and  $P_i$  unitary conjugate to  $Q_i$ , modulo some permutation of indices and modulo some “rescaling” by appropriate amplifications of the prime factors involved. A first such result was obtained by Ozawa and Popa in [OP03], where a combination of  $C^*$  techniques from [Oz03] and intertwining techniques from [Po03] is used to show that any  $\text{II}_1$  factor arising from a tensor product of hyperbolic group factors has such a unique tensor product decomposition.

In this paper we prove an analogous unique prime factor decomposition result for tensor products of wreath product  $\text{II}_1$  factors. More precisely, we prove the following result:

**Theorem 0.1.** *Let  $A_1, \dots, A_n$  be non-trivial amenable groups;  $H_1, \dots, H_n$  be non-amenable groups; and  $Q_1, \dots, Q_k$  be diffuse von Neumann algebras such that*

$$M = L(A_1 \wr H_1) \overline{\otimes} \dots \overline{\otimes} L(A_n \wr H_n) = Q_1 \overline{\otimes} \dots \overline{\otimes} Q_k$$

*If  $k \geq n$ , then  $n = k$ , and after permutation of indices we have that  $L(A_i \wr H_i) \simeq Q_i^{t_i}$  for some positive numbers  $t_1, t_2, \dots, t_n$  whose product is 1.*

Also we have a natural generalization of this theorem to unique measure-equivalence decomposition results of finite products of wreath product groups. Such results were achieved for products of groups of the class  $\mathcal{C}_{reg}$  by Monod and Shalom (Theorem 1.16 in [MS06]), for products of bi-exact groups by Sako (Theorem 4 in [Sa09]), and for products of groups in  $\mathcal{QH}_{reg}$  by Chifan and Sinclair (Corollary C in [CS10].)

**Corollary 0.2.** *Let  $A_1, \dots, A_n$  be non-trivial amenable groups;  $H_1, \dots, H_n$  be non-amenable groups; and  $K_1, \dots, K_m$  be groups such that*

$$A_1 \wr H_1 \times \dots \times A_n \wr H_n \simeq_{ME} K_1 \times \dots \times K_m$$

*If  $m \geq n$ , then  $n = m$ , and after permutation of indices we have that  $A_i \wr H_i \simeq_{ME} K_i$ .*

We prove these results by using deformation/rigidity theory. More precisely, we use the malleable deformation for wreath product group factors in [CPS11], combined with Popa's spectral gap rigidity and intertwining by bimodules techniques.

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## 1. PRELIMINARIES

**Intertwining by Bimodules:** Let us recall Popa's intertwining by bimodules technique. This is a crucial tool for locating subalgebras of  $\text{II}_1$ -factors, and is summed up in the following theorem:

**Theorem 1.1** (Popa, [Po03]). *Let  $P, Q \subset M$  be finite von Neumann algebras. Then the following are equivalent:*

- (1) *There exists nonzero projections  $p \in P, q \in Q$ , a nonzero partial isometry  $v \in M$ , and a \*-homomorphism  $\varphi : pPp \rightarrow qQq$  such that  $vx = \varphi(x)v, \forall x \in pPp$*
- (2) *There is a sub- $P - Q$ -bimodule  $\mathcal{H} \subset L^2(M)$  that is finitely generated as a right  $Q$ -module.*
- (3) *There is no sequence  $u_n \in \mathcal{U}(P)$  such that*

$$\lim_{n \rightarrow \infty} \|E_Q(xu_ny)\|_2 \rightarrow 0, \forall x, y \in M$$

If any of the above conditions hold we say that *a corner of  $P$  embeds in  $Q$  inside  $M$* , denoted  $P \prec_M Q$ .

Following [OP07] we have the following definition:

**Definition 1.2.** Let  $P, Q \subset M$  be finite von Neuman algebras. We say that  $P$  is *amenable over  $Q$  inside  $M$* , which we denote  $P \lessdot_M Q$ , if there is a  $P$ -central state,  $\varphi$ , on  $\langle M, e_Q \rangle$  such that  $\varphi|_M = \tau$ , where  $\tau$  is the trace on  $M$ .

Let us note that by Theorem 2.1 in [OP07]  $P \lessdot_M Q$  is equivalent to  $L^2(P) \prec \bigoplus L^2(\langle M, e_Q \rangle)$  as  $P$ -bimodules. Further, if  $P \prec_M Q$  then  $L^2(M)$  contains a sub  $P$ - $Q$ -module,  $\mathcal{H}$ , that is finitely generated as a right  $Q$  module. Therefore, the projection onto this module will commute with the right action of  $Q$  and will have finite trace. Therefore, it will be a vector in  $L^2(\langle M, e_N \rangle)$ . Further, it will also commute with  $P$ , so if we look at  $L^2(\langle M, e_N \rangle)$  as a  $P$ -bimodule, it will contain a central vector. Since strong containment implies weak containment we get the following observation.

**Proposition 1.3.** *Let  $P, Q \subset M$  be von Neumann algebras. If  $P \prec_M Q$  then  $P \lessdot_M Q$ .*

**Deformation of Wreath Products:** Let  $A$  and  $H$  be discrete groups. Then following standard notation we let  $A \wr H = A^H \rtimes H$  denote the standard wreath product. Throughout this paper we will consider trace preserving actions of  $A \wr H$  on a finite von Neuman algebra  $N$ , and we consider the resulting crossed product algebra  $M = N \rtimes A \wr H$ .

Let  $\tilde{A} = A * \mathbb{Z}$ . If we let  $u \in L(\tilde{A})$  denote the Haar unitary that generates  $L(\mathbb{Z})$  then for every  $t \in \mathbb{R}$ , we define  $u^t \doteq \exp(it\mathbb{I}) \in L\mathbb{Z}$ . This allows us to define  $\theta_t \in \text{Aut}(L(\tilde{A}))$  by  $\theta_t(x) = u^t x (u^*)^t$ . By applying this automorphism in each coordinate we can get an automorphism of  $L(\tilde{A}^H)$ . Since the action of  $H$  is by permuting the coordinates, it commutes with  $\theta_t$  and so we can extend it to  $L(\tilde{A} \wr H)$ . If we now declare that the Haar unitaries in each coordinate do not act on the algebra  $N$ , then we can extend to an automorphism, which we still denote by  $\theta_t$  of  $\tilde{M} = N \rtimes \tilde{A} \wr H$ .

It is easy to see that  $\lim_{t \rightarrow 0} \|u^t - 1\|_2 = 0$  and hence we have  $\lim_{t \rightarrow 0} \|\theta_t(x) - x\|_2 = 0$  for all  $x \in \tilde{M}$ . Therefore, the path  $(\theta_t)_{t \in \mathbb{R}}$  is a deformation by automorphisms of  $\tilde{M}$ .

Next we show that  $\theta_t$  admits a “symmetry”, i.e. there exists an automorphism  $\beta$  of  $\tilde{M}$  satisfying the following relations:

$$\beta^2 = 1, \beta|_M = id|_M, \beta\theta_t\beta = \theta_{-t}, \text{ for all } t \in \mathbb{R}.$$

To see this, first define  $\beta|_{L_{LA^I}} = id|_{L_{LA^I}}$  and then for every  $h \in H$  we let  $(u)_h$  be the element in  $L\tilde{A}^H$  whose  $h^{th}$ -entry is  $u$  and 1 otherwise. On elements of this form we define  $\beta((u)_h) = (u^*)_h$ , and since  $\beta$  commutes with the actions of  $H$  on  $A^H$ , it extends to an automorphism of  $L(\tilde{A} \wr H)$  by acting identically on  $L(H)$ . Finally, the automorphism  $\beta$  extends to an automorphism of  $\tilde{M}$ , still denoted by  $\beta$ , which acts trivially on  $A$ .

Let us note that, with this choice of  $\beta$ ,  $\theta_t$  is an *s-malleable deformation* of  $\tilde{M}$  in the sense of [Po03]. In fact, this is the same deformation that the first author used in [CPS11], and is inspired by similar free malleable deformations in [Po01, IPP05, Io06], so we refer to this previous work for additional discussion.

## 2. INTERTWINING TECHNIQUES FOR WREATH PRODUCTS

In this section we prove the necessary intertwining results for  $\text{II}_1$  factors arising from wreath product groups that we will need in order to prove our desired uniqueness of tensor product decomposition.

The following proposition is a relative version of Lemma 4.2 in [CPS11], and will follow a similar proof.

**Proposition 2.1.** *Let  $N$  be a finite von Neumann algebra. Let  $A, H$  be groups with  $A$  non-trivial amenable and  $H$  non-amenable. Let  $Q \subset N \rtimes A \wr H = M$  be an inclusion of von Neumann algebras. Assume  $Q$  is not amenable over  $N$  inside  $M$  then  $Q' \cap \tilde{M}^\omega \subseteq M^\omega$ .*

*Proof.* As mentioned above this proof follows closely the proof of Lemma 4.2 in [CPS11] as well as Lemma 5.1 in [Po06a] and other similar results in the literature.

We will prove the contrapositive so let us assume that  $Q' \cap \tilde{M}^\omega \not\subseteq M^\omega$ . Then proceeding as in Lemma 5.1 in [Po06a] We see that

$$L^2(Q) \prec L^2(\tilde{M}) \ominus L^2(M)$$

as  $Q$ -bimodules. Now we decompose  $L^2(\tilde{M}) \ominus L^2(M)$  as an  $M$ -bimodule.

One can see that the above  $M$ -bimodule can be written as a direct sum of  $M$ -bimodules  $\overline{M\tilde{\eta}_s M}^{\|\cdot\|_2}$ , where the cyclic vectors  $\tilde{\eta}_s$  correspond to an enumeration of all elements of  $\tilde{A}^H$  whose non-trivial coordinates start and end with non-zero powers of  $u$ .

Next, for every  $s$ , we denote by  $\eta_s$  the element of  $A^H$  that remains from  $\tilde{\eta}_s$  after deleting all nontrivial powers of  $u$ . Also for every  $s$  let  $\Delta_s \subset H$  be the support of  $\tilde{\eta}_s$  and observe that if  $Stab_H(\tilde{\eta}_s)$  denotes the stabilizing group of  $\tilde{\eta}_s$  inside  $H$  then we have  $Stab_H(\tilde{\eta}_s)(H \setminus \Delta_s) \subset H \setminus \Delta_s$ .

Hence we can consider the von Neumann algebra  $K_s = N \rtimes (A \wr_{H \setminus \Delta_s} Stab_H(\tilde{\eta}_s))$  and using similar computations as in Lemma 5.1 of [Po06a], one can easily check that the map  $x\tilde{\eta}_s y \rightarrow x\eta_s e_{K_s} y$  implements an  $M$ -bimodule isomorphism between  $\overline{M\tilde{\eta}_s M}^{\|\cdot\|_2}$  and  $L^2(\langle M, e_{K_s} \rangle)$ .

Therefore, as  $M$ -bimodules, we have the following isomorphism

$$L^2(\tilde{M}) \ominus L^2(M) = \bigoplus L^2(\langle M, e_{K_s} \rangle).$$

Thus we can get the following weak containment of  $Q$ -bimodules

$$L^2(Q) \prec \bigoplus L^2(\langle M, e_{K_s} \rangle).$$

Notice that, since  $\Delta_s$  is finite, and the action of  $H$  on itself is free, then  $Stab_H(\tilde{\eta}_s)$  is finite for all  $s$ . Also, since  $A$  is an amenable group we have that  $K_s \triangleleft_N N$  for all  $s$ . Thus for all  $s$  we have the following weak containment of  $K_s$ -bimodules

$$L^2(K_s) \prec \bigoplus L^2(\langle K_s, e_N \rangle) \simeq \bigoplus L^2(K_s) \otimes_N L^2(K_s)$$

Now if we induce to  $M$ -bimodules and restrict to  $Q$ -bimodules and use continuity of weak containment under induction and restriction we get the following inclusions of  $Q$ -bimodules:

$$\begin{aligned} L^2(Q) &\prec \bigoplus L^2(\langle M, e_{K_s} \rangle) \\ &\simeq \bigoplus L^2(M) \otimes_{K_s} L^2(K_s) \otimes_{K_s} L^2(M) \\ &\prec \bigoplus L^2(M) \otimes_{K_s} L^2(K_s) \otimes_N L^2(K_s) \otimes_{K_s} L^2(M) \\ &\simeq \bigoplus L^2(M) \otimes_N L^2(M) \\ &\simeq \bigoplus L^2(\langle M, e_N \rangle) \end{aligned}$$

Thus  $Q \triangleleft_M N$

□

We finish this section with a final theorem which allows us to locate regular subfactors with large commutant.

**Theorem 2.2.** *Let  $N$  be a finite von Neumann algebra. Let  $A$  and  $H$  be groups with  $A$  non-trivial amenable and  $H$  non-amenable. Let  $Q \subset N \rtimes A \wr H = M$  be a subalgebra that is not amenable over  $N$ . Let  $P = Q' \cap M$ . If  $P$  is a regular subfactor of  $M$  then  $P \prec_M N$ .*

*Proof.* Applying Proposition 2.1 and following the proof of Theorem 4.1 in [CPS11] we see that the deformation  $\theta_t$  converges uniformly on the unit ball of  $P$ , and thus by Theorem 3.1 in [CPS11] we have that  $P \prec_M N \rtimes A^H$  or  $P \prec_M N \rtimes H$ .

Following the same argument as Theorem 4.1 [CPS11] if we assume that  $P \prec_M N \rtimes A^H$  and  $P \not\prec_M N$  then we get  $Q \prec_M N \rtimes A \wr H_0$  for some finite subgroup  $H_0 \subset H$ . Since  $A$  is amenable and  $H_0$  is finite then  $N \rtimes A \wr H_0 \triangleleft_M N$ . So since  $Q \prec_M N \rtimes A \wr H_0$  then by Proposition 1.3 we have  $Q \triangleleft_M N \rtimes A \wr H_0$ . Then by part 3 of Proposition 2.4 in [OP07] we have that  $Q \triangleleft_M N$  contradicting our assumption.

Thus  $P \prec_M N \rtimes H$ . Therefore, by Theorem 1.1, there exists nonzero projections  $p \in P, q \in N \rtimes H$ , a nonzero partial isometry  $v \in M$ , and a \*-homomorphism  $\varphi : pPp \rightarrow q(N \rtimes H)q$  such that  $vx = \varphi(x)v, \forall x \in pPp$ . Furthermore we have that  $v^*v = p$  and  $vv^* = \hat{q} \in \varphi(pPp)' \cap qMq$ . Also, by Lemma 3.5 in [Po03] we know that  $pPp$  is a regular subalgebra of  $pMp$ .

Then for all  $u \in \mathcal{N}_{pMp}(pPp)$  let us calculate:

$$\begin{aligned} \varphi(x)vuv^* &= vxuv^* \\ &= vu(u^*xu)v^* \\ &= vuv^*v(u^*xu)v^* \\ &= vuv^*\varphi(u^*xu)vv^* \\ &= vuv^*\varphi(u^*xu) \end{aligned}$$

Now assume that  $P \not\prec_M N$ , then by part (2) of Lemma 2.4 in [CPS11] we have that  $vuv^* \in N \rtimes H$ . Since  $pPp$  is regular in  $pMp$  we would then get that  $M \prec_M N \rtimes H$ . However, this is impossible since the fact that  $A$  is nontrivial implies that  $[M : N \rtimes H] = \infty$ .

□

### 3. PROOF OF MAIN THEOREMS

In this section we prove our main theorem. Our main technical tool is the following, which is proposition 2.7 in [PV11]. Before we state the result let us recall that two von Neumann subalgebras  $M_1, M_2 \subset M$  of a finite von Neumann algebra  $M$  are said to form a commuting square if  $E_{M_1}E_{M_2} = E_{M_2}E_{M_1}$ .

**Theorem 3.1** (Popa-Vaes, [PV11]). *Let  $(M, \tau)$  be a tracial von Neumann algebra with von Neumann subalgebras  $M_1, M_2 \subset M$ . Assume that  $M_1$  and  $M_2$  form a commuting square and that  $M_1$  is regular in  $M$ . If a von Neumann subalgebra  $Q \subset pMp$  is amenable relative to both  $M_1$  and  $M_2$ , then  $Q$  is amenable relative to  $M_1 \cap M_2$ .*

Notice that this theorem allows us to eliminate the case where  $Q$  is amenable over  $M_1$ . More specifically we have the following observation.

**Proposition 3.2.** *Let  $G_1$  and  $G_2$  be groups. Let  $A$  be a finite amenable von Neumann algebra with an action of  $G_1 \times G_2$ , and let  $Q \subset A \rtimes G_1 \times G_2$  be a nonamenable subalgebra. Then there exists an  $i$  such that  $Q$  is not amenable over  $A \rtimes G_i$ .*

*Proof.* If we let  $A \rtimes G_i = M_i$  then it is easy to see that  $M_1, M_2 \subset M$  form a commuting square. So if  $Q$  is amenable over both  $M_i$  we would have that it would be amenable over the intersection, which is  $A$ . Since  $A$  is amenable this would imply that  $Q$  is amenable. □

Finally combining the above results we can prove our main theorem (Theorem 0.1).

*Proof.* First let us mention that for the case  $n = 1$ , this is equivalent to the primeness of  $\text{II}_1$ -factors arising from Bernoulli shifts, which was proven in [Po06a].

Now notice that we can write  $M$  as  $M = N_i \rtimes_\sigma A_i \wr H_i$ , where  $N_i = L(A_1 \wr H_1) \overline{\otimes} \dots \overline{\otimes} L(A_{i-1} \wr H_{i-1}) \overline{\otimes} L(A_{i+1} \wr H_{i+1}) \overline{\otimes} \dots \overline{\otimes} L(A_n \wr H_n)$  and  $\sigma$  is the trivial action.

Let us define  $\widehat{Q}_i = (Q_i)' \cap M = Q_1 \overline{\otimes} \dots \overline{\otimes} Q_{i-1} \overline{\otimes} Q_{i+1} \overline{\otimes} \dots \overline{\otimes} Q_k$ . Since  $H_i \wr \Gamma_i$  does not have property Gamma for all  $i$  this implies, in particular, that  $Q_1$  is nonamenable. By proposition 3.2, where we let  $A = \mathbb{C}$ , we know that there is an  $i$  such that  $Q_1$  is not amenable over  $N_i$ .

Since  $\widehat{Q}_1$  is a regular subalgebra of  $M$ , then by Theorem 2.2 we get that  $\widehat{Q}_1 \prec_M N$ .

We complete the argument by following Proposition 12 and the induction argument of the proof of Theorem 1 in [OP03]. □

Before we prove our final theorem let us recall the following definition:

**Definition 3.3.** We say that two group  $\Gamma$  and  $\Lambda$  are *measure equivalent*,  $\Gamma \simeq_{ME} \Lambda$  if there is a diffuse abelian von Neumann algebra,  $A$ , and free ergodic trace preserving actions,  $\sigma, \rho$  of  $\Gamma$  and  $\Lambda$ , respectively, such that  $A \rtimes_\sigma \Gamma \simeq (A \rtimes_\rho \Lambda)^t$ , and the isomorphism takes  $A$  onto  $A^t$ .

With this definition we can now prove our final result (Corollary 0.2.)

*Proof.* Let  $A_1 \wr H_1, \dots, A_n \wr H_n$  be as above, and let  $K_1, \dots, K_m$  be groups. Since  $A_1 \wr H_1 \times \cdots \times A_n \wr H_n \simeq_{ME} K_1 \times \cdots \times K_m$  and  $A_i \wr H_i$  is nonamenable for all  $i$ , then  $K_j$  is nonamenable for all  $j$ .

Now we know that there are actions on  $L^\infty(X)$  such that  $M = L^\infty(X) \rtimes A_1 \wr H_1 \times \cdots \times A_n \wr H_n \simeq (L^\infty(X) \rtimes K_1 \times \cdots \times K_m)^t$ . We may assume that  $t = 1$ .

Let  $N_i = A \rtimes A_1 \wr H_1 \times \cdots \times A_{i-1} \wr H_{i-1} \times A_{i+1} \wr H_{i+1} \times \cdots \times A_n \wr H_n$ , so that we have  $M = N_i \rtimes A_i \wr H_i$ . As in the proof of the previous theorem, since  $K_i$  is nonamenable, there is an  $i$  such that  $L(K_1)$  is nonamenable over  $N_i$ . Now by the proof of Theorem 2.2 this implies that  $L(K_1)' \cap M = L(K_2 \times \cdots \times K_m) \prec N_i \rtimes H_i$ . Thus by Lemma 2.2 in [CPS11] we have that  $A \rtimes K_2 \times \cdots \times K_m \prec N_i \rtimes H_i$ . Now since  $A \rtimes K_2 \times \cdots \times K_m$  is a regular subalgebra we have by Theorem 2.2 that  $A \rtimes K_2 \times \cdots \times K_m \prec N_i$ .

Notice that now we can follow exactly as in the proof of Corollary C in [CS10] to get our desired result. □

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